



**RBC**  
**Dain Rauscher**

Member NYSE/SIPC

# 2002 COMBINED FORMS 1099

## TAX INFORMATION SUMMARY

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.



THOMAS TRUELSON & JOHN M  
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ESTATE OF ROBERT B. TRUELSON  
INDEPENDENT CO-EXECUTORS  
PO BOX 822  
COLLEYVILLE TX 76034-0000

153898  
1101 FW36

RECIPIENT'S ACCOUNT NUMBER
1101-7200-6256
RECIPIENT'S IDENTIFICATION NUMBER
75-6658990
PAYER'S FEDERAL IDENTIFICATION NUMBER
41-1416330
FINANCIAL CONSULTANT
JIM LACAMP/PAT REDDELL
FINANCIAL CONSULTANT TELEPHONE NUMBER
817-338-4145

NOTE: RBC Dain Rauscher Inc. should be listed as the payor of income amounts on your tax return (Forms 1099-INT,-DIV,-OID,-MISC). Do not use company names shown in the detail analysis for these amounts. They are for your information only.

FORM 1099-DIV		DIVIDENDS AND DISTRIBUTIONS			OMB No. 1545-0110
1. Ordinary dividends	2a. Total capital gain distr.	2b. 28% rate gain	2c. Qualified 5 year gain	2d. Unrecap. Sec 1250 gain	
\$11,740.88					
2e. Section 1202 gain	3. Nontaxable distributions	4. Federal income tax withheld	6. Foreign tax paid	7. Foreign country or U.S. possession (SEE DETAIL)	8. Cash liquidation distr.

FORM 1099-OID		ORIGINAL ISSUE DISCOUNT		OMB No. 1545-0117
1. Original issue discount	2. Other periodic interest	4. Federal income tax withheld	5. Description (SEE DETAIL)	6. Original issue discount on U.S. Treasury obligations
\$50.78				

FORM 1099-B		PROCEEDS FROM BROKER TRANSACTIONS		OMB No. 1545-0715
1a. Date of Sale (SEE DETAIL)	1b. Cusip (SEE DETAIL)	2. Stocks, bonds etc. (gross proceeds less commissions)	4. Federal income tax withheld	5. Description (SEE DETAIL)
		\$21,619.91		

FORM 1099-MISC		MISCELLANEOUS INCOME		OMB No. 1545-0115
1. Rents	2. Royalties	3. Other income	4. Federal income tax withheld	
	\$451.83			

## INSTRUCTIONS FOR RECIPIENT – READ CAREFULLY

This substitute **1099** document includes one or more of the following depending on your account activity for the calendar year: Substitutes **1099–INT (OMB 1545–0112)**, **1099–DIV (OMB 1545–0110)**, **1099–OID (OMB 1545–0117)**, **1099–B (OMB 1545–0715)** and **1099–MISC (OMB 1545–0115)**.

**NOMINEES** If your federal identification number is shown on this form and the form includes amounts belonging to another person, you are considered a nominee recipient. You must file applicable Forms 1099–INT, 1099–OID, 1099–B, OR 1099–MISC for each of the other owners showing the amounts allocable to each. File the form(s) with **Form 1096**, Annual Summary and Transmittal of U. S. Information Returns, with the Internal Revenue Service Center for your area. On each Form 1099, list yourself as the "payer" and the other owner as the "recipient." On Form 1096, list yourself as the "filer." A husband or wife is not required to file a nominee return to show amounts owned by the other. For OID recipients, if you bought or sold an obligation during the year and you are not a nominee, you are not required to issue or file form 1099–OID showing the OID or stated interest allocable to the seller/buyer of the obligation.

### INSTRUCTIONS FOR RECIPIENT 1099–INT

**Box 1.** Shows interest paid to you during the calendar year by the payer. This does not include interest shown in Box 3.

**Box 3.** Shows interest on U.S. Treasury bills, Treasury bonds, and Treasury notes. This may or may not be all taxable. See **Pub. 550**, Investment Income and Expenses. This interest is exempt from state and local income taxes. **This interest is not included in Box 1.**

**Box 4.** Shows backup withholding. See explanation below.

**Box 6.** Shows foreign tax paid. See explanation below.

### INSTRUCTIONS FOR RECIPIENT 1099–DIV

**Caution: If an amount appears in box 2a, you must file Form 1040. You may not file Form 1040–A.**

**Box 1.** Ordinary dividends, which include any net short–term capital gains from a mutual fund, are fully taxable. Include this amount on the "Dividends" line of Form 1040 or 1040A. Also report it on Schedule B (Form 1040) or Schedule 1 (1040–A), as appropriate. The amount shown may be a distribution from an employee stock ownership plan (ESOP). Report it as a dividend on your income tax return, but treat it as a plan distribution, not as investment income, for any other purpose.

**Box 2a.** Total capital gain distributions (long–term) from a regulated investment company or real estate investment trust. Amounts shown in boxes 2b, 2c, 2d, and 2e are included in box 2a. If no amount is shown in boxes 2b–2e, and your only capital gains and losses are capital gain distributions, see the Form 1040 instructions for the "Capital gain or (loss)" line. If any amounts are shown in boxes 2b–2e, report the amount in box 2a on Schedule D (Form 1040), Part II.

**Box 2b.** 28% rate gain. Report this amount on Schedule D (Form 1040), Part II.

**Box 2c.** Qualified 5–year gain that may be taxed at an 8% capital gains rate. Report this amount on Schedule D (Form 1040). See Schedule D (Form 1040) instructions.

**Box 2d.** Unrecaptured section 1250 gain from certain depreciable real property. Report this amount on the **Unrecaptured Section 1250 Gain Worksheet** in the Instructions for Schedule D (Form 1040).

**Box 2e.** Section 1202 gain from certain small business stock may be subject to a 50% exclusion. See Schedule D (Form 1040) instructions.

**Box 3.** This part of the distribution is nontaxable because it is a return of your cost (or other basis). You must reduce your cost (or other basis) by this amount for figuring gain or loss when you sell your stock. But if you get back all your cost (or other basis), you must report future nontaxable distributions as capital gains, even though this form shows them as nontaxable. For more information, see **Pub. 550**, Investment Income and Expenses.

**Box 4.** Shows backup withholding. See explanation below.

**Box 6.** Shows foreign tax paid. See explanation below.

### BACKUP WITHHOLDING

Persons not furnishing their certified taxpayer identification number to the payer become subject to backup withholding at a 30 percent rate on certain payments. See **Form W–9**, Request for Taxpayer Identification Number and Certification, for information on backup withholding. **Include this amount on your income tax return as tax withheld.**

### FOREIGN TAX PAID

Shows amount withheld at source of payment based on rates from applicable tax treaty between the U.S. and foreign country. You may choose to claim this tax as a deduction or a credit on your Federal income tax return. See **Pub. 514**, Foreign Tax Credit for Individuals.

### INSTRUCTIONS FOR RECIPIENT 1099–DIV (CONT)

**Box 8.** Shows cash liquidation distributions. See **Pub. 550**, Investment Income and Expenses.

### INSTRUCTIONS FOR RECIPIENT 1099–OID

Original issue discount (OID) is the excess of an obligation's stated redemption price at maturity over its issue price (acquisition price for a stripped bond or coupon). If you are the holder of an OID obligation, generally you must include an amount of OID in your gross income each year you hold the obligation.

Obligations that may have OID include a bond, debenture, note, certificate, or other evidence of indebtedness having a term of more than 1 year. For example, the OID rules may apply to certificates of deposit (CDs), time deposits, bonus savings plans, and other deposit arrangements, especially if the payment of interest is deferred until maturity. In addition, the OID rules apply to Treasury inflation–indexed securities.

**Box 1.** Shows the OID on the obligation for the part of the year you owned it. Report the entire amount in Box 1 as interest on your income tax return. However, if you paid acquisition or bond premium, or if the obligation is a stripped bond or stripped coupon, you must compute your proper OID. If you must compute your proper OID, see **Pub. 1212**, List of Original Issue Discount Instruments, to figure the correct OID to report on your tax return.

**Box 2.** Shows other interest on this obligation for the year, which is an amount separate from the OID in Box 1. If you held the obligation the entire year, report this amount as interest income on your tax return. If you disposed of the obligation or acquired it from another holder during the year, see **Pub. 550**, Investment Income and Expenses, for reporting instructions. If there is an amount in both boxes 2 and 6, the amount in box 2 is also interest on a U.S. Treasury obligation and is exempt from state and local income taxes.

**Box 4.** Shows backup withholding. See explanation below.

**Box 5.** The detail section shows the identification number (CUSIP number) or description of the obligation(s). The description may include the stock exchange, issuer, coupon rate, and year of maturity.

**Box 6.** Shows OID on a U.S. Treasury obligation for the part of the year you owned it. Report this amount. This OID is exempt from state and local income taxes and is not included in box 1.

### INSTRUCTIONS FOR RECIPIENT 1099–B

Brokers exchanges must report proceeds from transactions to you and to the Internal Revenue Service. This form is used to report these proceeds.

**Box 1a.** The detail section of Form 1099–B lists the trade date of the transaction(s) listed in aggregate in Box 2.

**Box 1b.** The detail section for Form 1099–B may show the CUSIP (Committee on Uniform Security Identification Procedures) number of the item(s) reported.

**Box 2.** Shows the net proceeds from transactions involving stocks, bonds, other debt obligations, or forward contracts. Losses on forward contracts are shown in parentheses.

**Box 4.** Shows backup withholding. See explanation below.

**Box 5.** The detail section for Form 1099–B shows a brief description of the item(s) of which the proceeds are being reported.

### INSTRUCTIONS FOR RECIPIENT 1099–MISC

If you are an individual, report the taxable amounts shown on this form on your tax return, as explained below. (Others, such as fiduciaries or partnerships, report the amounts on the proper line of your tax return.)

**Box 1 and 2.** Report on **Schedule E (Form 1040)**. For royalties on timber, coal, and iron ore, see **Pub. 544**, Sales and Other Dispositions of Assets.

**Box 3.** Report on the "Other Income" line of **Form 1040** and identify the payment. If it is trade or business income, report on **Schedule C, C–EZ, or F (Form 1040)**.

**Box 4.** Shows backup withholding. See explanation below.

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## 2002 TRANSACTION DETAIL ANALYSIS

### 1099-DIV

### RBC Dain Rauscher Inc. – Dividends

If the total amount listed at the end of this section is less than \$10, it is not reported to the IRS, but is provided for your information.

#### BOX 1

DATE	DESCRIPTION	AMOUNT	NOTES	TOTAL
12/10/2002	AMER ELECTRIC PWR INC	\$3,124.20		\$3,124.20
11/01/2002	BELLSOUTH CORP	\$360.00		\$360.00
12/06/2002	BOEING CO	\$340.00		\$340.00
12/02/2002	CONOCOPHILLIPS	\$1,200.00		\$1,200.00
12/31/2002	GREAT HALL INVT FDS PRIME	\$80.60		\$80.60
12/10/2002	HONEYWELL INTL INC	\$1,125.00		\$1,125.00
11/01/2002	MONSANTO CO NEW	\$409.32		\$409.32
10/25/2002	MORGAN STANLEY	\$358.80		\$358.80
11/01/2002	PHARMACIA CORP	\$2,700.00		\$2,700.00
12/18/2002	PRUDENTIAL FINANCIAL CHG (1-99 ODD LOT)	\$2.40		\$2.40
12/19/2002	RADIOSHACK CORP	\$28.16		\$28.16
11/01/2002	SBC COMMNS INC	\$908.01		\$908.01
12/12/2002	SOLUTIA INC	\$160.00		

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<b>1099-DIV (cont)</b>	<b>RBC Dain Rauscher Inc. – Dividends</b>
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**BOX 1 (cont)**

DATE	DESCRIPTION	AMOUNT	NOTES	TOTAL
				\$160.00
12/10/2002	UNISOURCE ENERGY CORP	\$350.00		\$350.00
12/10/2002	UNITED STS STL CORP NEW	\$50.00		\$50.00
11/01/2002	VERIZON COMMUNICATIONS	\$544.39		\$544.39

**Box 1 Total Amount \$11,740.88**

<b>1099-OID</b>	<b>RBC Dain Rauscher Inc. – OID</b>
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**BOX 1**

DESCRIPTION	ISSUE DATE	MATURITY DATE	ISSUE PRICE	AMOUNT	NOTES	TOTAL
NISOURCE INC SAILS 1SH PUR CONTRACT \$2.60 & 1DEB	11/02/2000	11/01/2004	2.060	\$50.78		\$50.78

**Box 1 Total Amount \$50.78**

<b>1099-B</b>	<b>RBC Dain Rauscher Inc. – Sales and Redemptions</b>
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**BOX 2**

TRADE DATE	QUANTITY	DESCRIPTION	CUSIP	AMOUNT	NOTES	TOTAL
12/25/2002	100.000	MUNICIPAL INVT TR FD UNIT MULTIST SER 37 TX INSD	62622D414	\$21,037.00	U	\$21,037.00
10/25/2002	11.000	NATIONAL MUN TR SERIES 153 MONTHLY	63701J173	\$405.79	U	\$405.79
12/03/2002	6.000	PRUDENTIAL FINANCIAL CHG (1-99 ODD LOT)	744990284	\$177.12		\$177.12

**Box 2 Total Amount \$21,619.91**

U – Principal returned on Unit Investment Trusts

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<b>1099-MISC</b>	<b>RBC Dain Rauscher Inc. – Miscellaneous Income</b>
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If the total amount listed at the end of this section is less than \$10, it is not reported to the IRS, but is provided for your information.

**BOX 2**

DATE	DESCRIPTION	AMOUNT	NOTES	TOTAL
10/15/2002	PERMIAN BASIN RTY TR UBI	\$138.90		
11/15/2002	PERMIAN BASIN RTY TR UBI	\$156.57		
12/13/2002	PERMIAN BASIN RTY TR UBI	\$156.36		
				\$451.83

**Box 2 Total Amount \$451.83**

**The following information is provided for your use in preparing tax returns for this and subsequent years; it is not furnished to the IRS.**

<b>State and Local Government Income Not Reported to IRS</b>
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TRADE DATE	DESCRIPTION	STATE	AMOUNT	NOTES	TOTAL
10/25/2002	MUNICIPAL INVT TR FD UNIT MULTIST SER 37 TX INSD		\$415.00	F	
11/25/2002	MUNICIPAL INVT TR FD UNIT MULTIST SER 37 TX INSD		\$415.00	F	
12/25/2002	MUNICIPAL INVT TR FD UNIT MULTIST SER 37 TX INSD		\$331.00	F	
					\$1,161.00
10/25/2002	NATIONAL MUNICIPAL TR UNIT SER 124 MONTHLY		\$16.80	# F	
11/25/2002	NATIONAL MUNICIPAL TR UNIT SER 124 MONTHLY		\$16.80	# F	
12/25/2002	NATIONAL MUNICIPAL TR UNIT SER 124 MONTHLY		\$16.80	# F	
					\$50.40
10/25/2002	NATIONAL MUN TR SERIES 153 MONTHLY		\$20.57	# F	
11/25/2002	NATIONAL MUN TR SERIES 153 MONTHLY		\$18.92	# F	
12/25/2002	NATIONAL MUN TR SERIES 153 MONTHLY		\$18.92	# F	
					\$58.41

**Total Amount \$1,269.81**

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**State and Local Government Income Not Reported to IRS (cont.)**

F – This income is subject to possible reallocation by the issuer into other types of income or return of capital. If the issuer reallocates income, a corrected Tax Information Summary will be mailed to you on or about March 10, 2003.

# – Some states require their residents to include income earned on state/municipal securities from outside their state in state taxable income. Consult your tax advisor or state tax department to determine your liability.

**Security Purchases**

TRADE DATE	QUANTITY	DESCRIPTION	CUSIP	AMOUNT	NOTES	TOTAL
12/10/2002	116.575	AMER ELECTRIC PWR INC	025537101	3,124.20		\$3,124.20
Total Security Purchases						\$3,124.20